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SUPREME COURT OF THE UNITED STATES

October Term, 1976
No. 76-1616

COUNTY OF LOS ANGELES, a political subdivision of the State of California, KENNETH F. FARE, Acting Chief Probation Officer, HARRY L. HUFFORD, Acting Director of Personnel, and JACKIE HASENSTAB, Personnel Officer,

Appellants,

VS.

JOSE CHAVEZ-SALIDO, RICHARDO BOHORQUEZ, and PEDRO LUIS YBARRA.

Appellees,

ON APPEAL FROM THE UNITED STATES DISTRICT COURTS FOR THE CENTRAL DISTRICT OF CALIFORNIA

JURISDICTIONAL STATEMENT

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Appellants,

VS.

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Appellees,

JURISDICTIONAL STATEMENT

OPINIONS BELOW

The Order of the Chief Judge of the Circuit Court convening the three-judge court and the basis for such action is set forth in the opinion of the three-judge district court, dated February 3, 1977. This is reproduced in Appendix A, p. 6 and Note 4, to this Jurisdictional Statement.

The opinion of the three-judge district court, dated February 3, 1977, is reported at 427 F.Supp. 158 (C.D. CA). It is reproduced in Appendix A, pp. 1-46.

JURISDICTION

The jurisdiction of this Court is conferred by 28 U.S.C. Section 1253.

The judgment of the three-judge district court was filed February 23, 1977 and is reproduced in Appendix B, pp. 1-5 to this Jurisdictional Statement.

The Notice of Appeal to this Court was filed on March 18, 1977 and is reproduced in Appendix C, pp. 1-4.

STATE STATUTE INVOLVED

California Government Code Section 1031(a) provides in pertinent part:

> "Each class of public officers or employees declared by law to be peace officers shall meet at least the following minimum standards:

> (a) Be a citizen of the United States; ... "

Other California statutes important to the resolution of the issues presented in this case include Penal Code Sections 830.5, 1203, 1203.1, 1203.1a, 1203.10, 1203.2, 1203.13, 1203.14, 11175-11179; Welfare and Institutions Code Sections 283, 236, 280, 628, 630, 727, 730, 731; and Code of Civil Procedure Sections 131.3, 131.4.

QUESTIONS PRESENTED

- A. Is California's statute which requires peace officers, and specifically probation officers, to be United States citizens, reasonably or substantially related to a constitutionally permitted state interest so as to be valid?
- B. Is a public entity subject to direct liability for damages under the Fourteenth Amendment?
 - Is a public entity subject to liability for damages under 42 U.S.C. Section 1981?
 - Does 28 U.S.C. Section 1331(a) confer jurisdiction on a district court to entertain actions brought against a public entity under the Fourteenth Amendment or 42 U.S.C. Section 1981?

STATEMENT OF THE CASE

Appellees Chavez-Salido, Ybarra and Bohorquez, as of the date the complaint was filed, were lawfully admitted permanent resident aliens living in Los Angeles County. Appellee Chavez-Salido applied for the job of Deputy Probation Officer II on March 27, 1975. On the required civil service examination, Chavez-Salido scored a passing grade of 95. In September he was informed a job opening existed but would have to show proof of citizenship to be appointed to the position. Solely because he was unable to do so, he was denied this position. Chavez-Salido applied for and was granted citizenship on March 15, 1976.

Appellee Ybarra applied for the positions of Deputy Probation Officer I and II in October and August of 1975 respectively. He was informed of the citizenship requirement for both positions and, being unable to show proof of his citizenship, was denied employment as a DPO I and a position on an eligibility list for DPO II. These denials were solely the result of the statutory citizenship requirement of Section 1031(a) of California's Government Code. Ybarra has not applied for American citizenship.

Appellee Bohorquez applied for the position of Deputy Probation Officer II in January of 1975. During the civil service examination, he was informed he could not be appointed to the position because of his lack of citizenship. In light of this information, he did not avail himself of his right to appeal the failing score he received on his examination. At the time of the court's decision, Bohorquez had not filed for United States citizenship.

Appellees commenced this action for injunctive and declaratory relief under the Equal Protection Clause of the Fourteenth Amendment and Sections 1981 and 1983 of Title 42 U.S.C. Their claim was unlawful discrimination based on alienage. Damages were sought by two of the claimants.

All parties agreed a three-judge court was appropriate and on April 26, 1976 the Chief Judge for the Ninth Circuit convened the court from which this appeal is being taken. The case was tried and argued August 20, 1976 on stipulated facts.

The district court held it had jurisdiction over the County of Los Angeles under 28 U.S.C. Section 1331(a) in that Appellees' complaint stated a cause of action under both the Fourteenth Amendment and 42 U.S.C. Section 1981 and the amount in controversy exceeded \$10,000.

In declaring Section 1031(a) Government Code to be unconstitutional, the court found the statute involved a suspect classification to which the strict judicial scrutiny test must be applied. The court rejected Appellants' arguments that the State of California had the right to define its political community and to exclude aliens from employment in positions, such as probation officers, which are defined as being peace officers. Such argument did not, in the court's opinion, satisfy the requisite "compelling state interest".

The court held the County was not immune from an award of damages under an *Edelman v. Jordan*, 415 U.S. 651 (1974) Eleventh Amendment theory and referred the case to the single district court judge for resolution of the issues of whether damages should be awarded and if so, the amount.

COMPELLING REASONS SUPPORT PLENARY CONSIDERATION

The decision below erroneously applied a strict scrutiny standard of review to Government Code Section 1031(a) which requires peace officers (of which probation officers are a class) to be United States citizens. The court rejected the argument that the State of California had a substantial interest in defining those persons who might hold such employment. Sugarman v. Dougall, 413 U.S. 634 (1973) sets forth the correct standard of review to be applied in this type of case.

A. Is California's statute which requires peace officers, and specifically probation officers, to be United States citizens reasonably or substantially related to a constitutionally permitted state interest so as to be valid?

Aliens as a class have been defined as "a prime example of a 'discrete and insular minority.' " Graham v. Richardson, 403 U.S. 365, 372 (1971). Generally, classifications based on alienage are subject to close judicial scrutiny. Graham v. Richardson, supra, 403 U.S. at 372. However, when the classification rests firmly within a state's constitutional prerogatives, this Court's scrutiny will not be nearly so demanding. Sugarman v. Dougall, 413 U.S. 634, 648 (1973).

As guaranteed by the Tenth Amendment, states have the power and obligation to "preserve the basic conception of a political community." Dunn v. Blumstein, 405 U.S. 330, 344 (1972).

"[T] his power and responsibility of the State applies . . . to persons holding state elective or important non-elective executive, legislative, and judicial positions, for officers who participate directly in the formulation, execution, or review of broad public policy perform functions that go to the heart of representative government." Sugarman v. Dougall, 413 U.S. 634, 647.

In a case in which this Court has noted jurisdiction and set the matter for argument, the lower court stated: "The classification of alienage, suspect for some purposes, may be permissible when the state is dealing with democratic government and its participants." Foley v. Connelie (S.D. NY 1976) 14 F.E.P. cases 593, 597, Supreme Court Docket No. 76-839.

A probation officer in the State of California is a peace officer (Penal Code Section 830.5, Welfare and Institutions Code Section 283, Code of Civil Procedure Section 131.4). Peace officers are required to be United States citizens (Government Code Section 1031(a)). This citizenship requirement for probation officers is the one Appellees challenge. A probation officer performs duties which go to the very heart of a representative government.

Probation officers are required to report to the court factors to be considered in aggravation or mitigation of punishment to be imposed for a crime and whether probation should or should not be granted (Penal Code Section 1203). Probationers are placed under the direct supervision of a probation officer who insures all conditions of probation are obeyed (Penal Code Section 1203.1). Violations of probation are cause for immediate rearrest by the probation officer, without an arrest warrant (Penal Code Section 1203.2).

Probation officers may order the temporary release of inmates incarcerated in county jails for the purpose of preparing them for release to the community (Penal Code Section 1203.1a). Probation officers engage in activities designed to reduce adult and juvenile delinquency and in so doing, deal with all adults and juveniles in the community (Penal Code Section 1203.14, Welfare and Institutions Code Section 236).

Probation officers supervise out-of-state parolees and must be familiar with and dedicated to the enforcement of not only the laws of the State of California, but the laws of the United States and other states (Uniform Out-of-State Parolee Supervision Act, Penal Code Sections 11175-11179).

Probation officers decide upon instituting court proceedings against juvenile offenders (Welfare and Institutions Code Sections 628, 630). Probation officers take custody of juveniles before and after court proceedings, represent the interests of the juvenile in such proceedings, prepare social studies on the minor and make recommendations for the proper disposition of the case (Welfare and Institutions Code Section 280). The care, custody, control and conduct of the minor may be given to the probation officer to supervise as he best sees fit (Welfare and Institutions Code Sections 727, 730, 731).

In California, a probation officer directly participates in state, local and interstate government. A probation officer, often unsupervised in the performance of his duties, is frequently called upon to formulate, execute, and review broad public policy in accordance with state and federal law. He has arrest powers and is usually the sole person to interpret the terms of probation and whether they are being carried out by the probationer (execution powers). Usually he alone formulates specific rules and regulations by which a probationer must abide in order to effect the court's order of probation (formulation powers). His review of the circumstances surrounding the offense and his recommendations as to sentencing and probation are compelling if not controlling factors in whether a convicted person will be incarcerated, for how long, or placed on probation (review powers).

Probation officers directly affect, preserve and protect the constitutional and state rights of every person within the State of California. Any decision of such officer will have a profound affect on the probationer. The actions taken respecting a specific probationer directly affect his family, the surrounding community and indirectly the entire populous. The state must be allowed to decide what persons will hold this important position.

The State of California has a tremendous interest in the composition of its probation officer/peace officer force. The class of probation officer is not included in the class of "common occupations of the community" to which the shelter of the Equal Protection Clause has been held to extend for the benefit of aliens. Sugarman v. Dougall, supra, 413 U.S. at 641.

The position of probation officer is an "important non-elective executive . . . position" and these "officers who participate directly in the formulation, execution or review of broad public policy perform functions that go to the heart of representative government." Sugarman v. Dougall, supra, 413 U.S. at 647. This is a situation where citizenship bears a vital and essential relationship to the proper performance of a peace officer who is a probation officer. A firm commitment to the laws, customs and sociology of the State of California and the United States is a mandatory prerequisite for every probation officer.

As the State has a substantial interest in requiring citizenship for probation officers, the standard of review of Section 1031(a) Government Code should be the modified rational basis standard enunciated in the Sugarman case.

In reviewing the statute in question, the district court applied a pure "strict scrutiny" test. Under such test, the court felt compelled to analyze the statute both from an overbreath and "as applied" standpoint (Appendix A, pp. 19-23). The

proper standard to be applied is one short of strict scrutiny and more analogous to rational basis. Therefore, once a substantial state interest was shown, the district court was forclosed from further judicial review of the statute.

B. Do claims against a political subdivision based on 42 U.S.C. Section 1981 and the Fourteenth Amendment provide jurisdiction under 28 U.S.C. Section 1331(a)?

The District Court recognized that the County of Los Angeles was not a "person" who could be held liable under 42 U.S.C. Section 1983. Thus, 28 U.S.C. Section 1343(a) (actions to redress the deprivation of civil rights) was not used to assert jurisdiction against the County of Los Angeles. However, the court held that claims based on 42 U.S.C. Section 1981 and the Fourteenth Amendment were cognizable under 28 U.S.C. Section 1331(a) (actions arising under the Constitution, laws or treaties of the United States over \$10,000 in controversy). This holding presents the questions of (a) whether public entities are subject to claims for damages based on 42 U.S.C. Section 1981 or the Fourteenth Amendment; and (b) whether the denial of employment per se meets the \$10,000 amount in controversy requirement?

This Court has authority to decide all questions presented in the lower court including jurisdictional ones involving the parties Flast v. Cohen, 392 U.S. 83, 90-93 (1968). See also Sterling v. Constantine, 287 U.S. 378 (1932).

1. Is there a cognizable claim against the County under the Fourteenth Amendment?

The district court, relying on Bivens v. Six Unknown Named Agents, 403 U.S. 388 (1971) and City of Kenosha v. Bruno, 413 U.S. 507 (1973), found that public entities such as Los Angeles County are subject to claims for damages brought under the Fourteenth Amendment despite the absence of any Congressionally created cause of action. (Appendix A, p. 13.)

In City of Kenosha, supra, 412 U.S. at p. 514, this Court's remand for further consideration of jurisdictional issues as opposed to entry of judgment for defendant cities, was thought by the district court in this case to "clearly imply" that an action based on a violation of the Fourteenth Amendment could be brought against a public entity. (Appendix A, pp. 13-14 and 34.) However, the more recent decision of Aldinger v. Howard, _____U.S. ____, 49 L.Ed.2d 276 (1976) leaves open the issue of existence of claims brought directly under the Fourteenth Amendment, Aldinger, supra, 49 L.Ed.2d 280, note 3.

Bivens v. Six Unknown Named Agents, supra, and its doctrine should not be expanded to create a federal common law remedy against public entities. In Bivens, a cause of action was recognized against federal agents based on the Fourth Amendment. The result in Bivens was necessary to vindicate the plaintiff's constitutional rights because 42 U.S.C. Section 1983 may not be used against federal agents and the Federal Tort Claims Act then precluded relief from the specific wrongful conduct of federal law enforcement officers. Pitrone v. Mecadante, 420 F. Supp. 1384 (E.D. Penn. 1976). No such relief is necessary here, where, a statutory remedy is available to Appellees under the Civil Rights Acts. In addition, remedies are available against public entities under state law.

Moreover, the court in *Bivens* thought it significant to its decision that: "The present case involves no special factors counselling hesitation in the absence of affirmative action by Congress." 403 U.S. 396. This is not the case here. Congress has acted pursuant to Section 5 of the Fourteenth Amendment to enforce the guarantees of that Amendment. Congress has the discretion and authority to determine whether and what legislation is needed to secure the guarantees of the Fourteenth Amendment. *Katzenbach v. Morgan*, 384 U.S. 641, 651 (1966).

Not only has Congress acted to provide remedies for violations of the Fourteenth Amendment, but it has done so in a manner long recognized as excluding public entities from liability. City of Kenosha v. Bruno, 412 U.S. 511 (1973); Moor v. County of Alameda, 411 U.S. 693 (1973); Monroe v. Pape, 365 U.S. 167 (1961). From the legislative history of the Civil Rights Act of 1871, the only Congressional intent which can be inferred is the exclusion of all public entities from civil liability for any conduct covered by Section 1983. Moor, supra, 411 U.S. 710.

Thus, the major underpinings of the *Bivens* doctrine are not present in this case. First, there is no necessity to provide a remedy based directly on the Fourteenth Amendment. Secondly, the enactment of civil rights legislation by Congress, and the historic background of Congressional hostility towards the concept of municipal liability are special factors militating against the extension of *Bivens*.

 Is a public entity exempted from liability under 42 U.S.C. Section 1981?

In its present form, 42 U.S.C. Section 1981 reads in part:

"All persons within the jurisdiction of the United States shall have the same right in every state . . . to make and enforce contracts . . . as is enjoyed by white citizens . . . "

For various reasons, the applicability of Section 1981 to municipalities and political subdivisions has been sparsely covered by the courts. Section 1983, however, has been consistently understood to exclude public entities from its coverage because of the historic background of Congressional hostility to municipal liability at the time of the statute's enactment. Monroe v. Pape, 365 U.S. 167 (1961); Moor v. County of Alameda, 411 U.S. 693 (1973); City of Kenosha v. Bruno, 412 U.S. 507 (1973); Aldinger v. Howard, ______, 49 L.Ed. 2d 276 (1976).

A brief review of the history of Section 1981 is instructive as to whether the statute was ever intended to create civil liability for municipalities. Section 1981, like Section 1982, is derived from the Civil Rights Act of April 9, 1866. Section 1 of that Act declared all

"... persons born in the United States and not subject to any foreign power ... [to be] citizens of the United States; and such citizens, of every race and color, without regard to any previous condition of slavery ... shall have the same right ... to make and enforce contracts as is enjoyed by white citizens."

This Act was passed pursuant to the Thirteenth Amendment rather than the Fourteenth Amendment. Jones v. Mayer Co., 392 U.S. 409 (1968). The Civil Rights Act of 1866

did not contain express provisions for civil liability for damages. Cf. Jones v. Mayer, supra, 392 U.S. 414. Therefore, it is not surprising that Congress did not express hostility to municipal liability in debating the Act in 1866. Equally important to this case, the Civil Rights Act of 1866 gave rights pursuant to the Thirteenth Amendment only to "citizens." Therefore, aliens such as the plaintiffs here were not protected under the statutes enacted pursuant to the Thirteenth Amendment.

The forerunner of present Section 1981 next appeared as Section 16 of the Enforcement Act of 1870. Section 16 of the Act reads in part:

"That all persons within the jurisdiction of the United States shall have the same right in every State and territory in the United States to make and enforce contracts . . . as enjoyed by white citizens" [Emphasis added.]

In addition, Section 18 of the Enforcement Act of 1870 re-enacted the entire Act of 1866. If the present Section 1981 gives the right to make and enforce contracts to aliens, then this guarantee must be traced back to Section 16 of the Act of 1870 where this right was conferred on "all persons" rather than only "citizens of the United States." The Act of 1870 was enacted after the Fourteenth Amendment.

Therefore, at least with regard to the rights of aliens, this Court has consistently understood Section 1981 to have been adopted under the authority of the Fourteenth Amendment. Cf. Takahashi v. Fish and Game Commission, 334 U.S. 419, 420 (1947); Yick Wo v. Hopkins, 118 U.S. 356, 369 (1885). This being so, the intent of Congress (not to hold municipalities liable for damages) as discussed in Monroe v.

Pape, supra; Moor v. County of Alameda, supra; and City of Kenosha v. Bruno, supra, cannot be ignored. As stated in Moor, 411 U.S. at 709, the Congress in enacting Section 1983 concluded, rightly or wrongly, that it lacked constitutional power to impose liability on municipalities. There is no reason to believe Congress did not have a similar assumption about its power in enacting all the legislation under the authority of the Fourteenth Amendment, including Section 1981. Section 1981 must be interpreted to exclude public entities from liability so as to be consistent with the interpretation and legislative intent of other Civil Rights sections.

Moreover, Section 1981 should not be used to accomplish indirectly what Congress refused to do directly in enacting Section 1983. Cf. Moor v. County of Alameda, 411 U.S. at 710, (same result regarding Section 1988); Aldinger v. Howard, U.S., 49 L.Ed.2d 288, (same result regarding pendent jurisdiction).

CONCLUSION

For the foregoing reasons, it is respectfully requested that this Court note probable jurisdiction and grant plenary consideration to the instant appeal. Executed this 16 day of May, 1977, at Los Angeles, California.

Respectfully submitted,

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APPENDIX A

UNITED STATES DISTRICT COURT

CENTRAL DISTRICT OF CALIFORNIA

) NO. CV JOSE CHAVEZ-SALIDO, RICARDO 76-0541-IH BOHORQUEZ, and PEDRO LUIS YBARRA.) OPINION Plaintiffs, V . . CLARENCE E. CABELL, in his official capacity as Acting Chief Probation Officer of Los Angeles County; JACQUALINE HASENSTAB, in her official capacity as Personnel Officer for the Los Angeles County Probation Department; GORDON T. NESVIG. in his official capacity as Chief Personnel Officer for the County of Los Angeles, and the COUNTY OF LOS ANGELES, a body politic, Defendants.

Before STANLEY N. BARNES, Senior Circuit Judge, JESSE W. CURTIS, Senior District Judge, and IRVING HILL, District Judge.

HILL, District Judge:

In this opinion, in a case of first impression, we declare unconstitutional California Government Code §1031(a). That section provides that one must be a citizen of the United States to hold any governmental position, state, county or local, which is declared by law to be a peace officer or which has the powers of a peace officer. The case is brought by three plaintiffs who applied for, and were denied, appointment to the position of Los Angeles County deputy probation officer solely because of the statutory requirement of American citizenship. Under California Penal Code \$839.5 a deputy probation officer is declared to be a peace officer and is therefore subject to the citizenship requirement of Government Code §1031(a). We hold \$1031(a) to be both unconstitutional on its face and unconstitutional as applied. We also hold that a county may be held liable for damages in an action brought directly under the 14th Amendment and in an action brought under 42 U.S.C. £1981.

I

FACTS

Plaintiffs, Chavez-Salido, Ybarra and Bohorquez, as of the date the complaint was filed, were lawfully admitted permanent resident aliens living in Los Angeles County. In a single-count first amended complaint seeking both injunctive relief and damages, they sue Los Angeles County, its Chief Personnel Officer, its Acting Chief Probation Officer and the Personnel Officer of the County's Probation Department. 1 The case was tried without live witnesses. Most of the facts

were stipulated to and some additional facts were received by uncontradicted affidavits.

Each of the plaintiffs has applied for appointment to the County Probation Department as a Deputy Probation Officer II. This position carries a salary of \$1,185 per month. There are some factual differences in the status of the three plaintiffs and in the treatment accorded their applications.

Chavez-Salido has resided here as a lawfully admitted permanent resident alien since 1955. His application to become a Deputy Probation Officer II was filed on March 27, 1975. An oral examination is given for this position, with 70 being the minimum passing grade. Chavez-Salido took the examination May 1, 1975, scored 95, and was notified that he was being placed on the eligibility list. In September, 1975, he was notified that a job opening existed. But shortly thereafter he was told he would have to show proof of citizenship to receive an appointment. Being unable to do so, he was denied employment. The denial is stipulated to have been solely the result of the citizenship requirement of Government Code §1031(a).

The County also has a position called Deputy Probation Officer Trainee, paying substantially less than Deputy Probation Officer II. The Trainee position does not require citizenship since it is not given by law the status or powers of peace officer. Chavez-Salido was offered the Trainee position on December 1, 1975 and accepted it. He had applied for American citizenship well

before applying to become a probation officer. His citizenship petition was granted and he was made a citizen on March 15, 1976. However, by that time, his name had been taken off the eligibility list for Deputy Probation Officer II. On August, 1976, he was promoted to the position of Deputy Probation Officer I. The Officer I position pays more than the Trainee position but less than the Officer II position and citizenship is required for it.

Plaintiff Ybarra has been a lawfully admitted permanent resident alien since 1972. On August 22, 1975 he applied for the position of Deputy Probation Officer II. He scored 70 on the examination. On the same date Ybarra also applied for the Trainee position. On October 8, 1975 he applied for the position of Deputy Probation Officer I. He passed the Officer I examination with a score of 80. He was told that he was qualified for both probation officer positions, that there was a job open in the I category, but no job was open in the II category although he would be placed on an eligibility list for the latter. He was also told that for both probation officer positions he was required to present proof of citizenship. Being unable to do so, he was denied the Officer I appointment and a position on the eligibility list for Officer II. It is again stipulated that these denials were solely the result of the statutory citizenship requirement. Ybarra was employed by the Los Angeles City Housing Authority during the entire application and examination period and apparently is still so employed. Ybarra has not to this date applied for American citizenship.

Plaintiff Bohorquez has been a lawfully admitted permanent resident alien since 1961. At the time the case was argued, he had never applied for American citizenship and, so far as we know, has still not done so. His application for the position of Deputy Probation Officer II was filed January, 1975. He was given the oral examination on May 5, 1975 but during the examination was told that he could not receive an appointment to the position sought because of his lack of citizenship. He was subsequently notified that he had failed to achieve a passing score of 70 on the examination. There is a procedure whereby an applicant can appeal his examination results. Bohorquez was notified that because he failed to meet the citizenship qualifications it would be useless to appeal. He therefore did not appeal and has refrained from filing further applications, though he is still desirous of appointment. Bohorquez was unemployed at the time of his application and examination and has remained unemployed since. It appears that he never sought appointment as a Probation Officer Trainee. Whereas Chavez-Salido and Ybarra seek damages by way of back pay as part of their relief, Bohorquez seeks only the opportunity to take a new examination.

Each plaintiff was at all times willing to taking the loyalty oath prescribed in the California Constitution for all public employees. Cal. Const. art. XX §3. That oath includes an agreement to support and defend the Federal and State Constitutions.

The first amended complaint, upon which the case was tried, 2 challenges \$1031(a) and the citizenship requirement therein contained, under the equal protection clause of the 14th Amendment

and under two provisions of the Civil Rights Acts, 42 U.S.C. §§1981 and 1983. These challenges articulate a claim of unlawful discrimination on the basis of alienage. In addition, the complaint asserts that §1031(a) unconstitutionally infringes upon plaintiffs' right to travel and upon Congress' power to regulate aliens. The latter claim invokes the supremacy clause of the Federal Constitution. Each plaintiff seeks a declaratory judgment invalidating the statute and injunctive relief prohibiting its application to him. All three plaintiffs seek attorneys fees. As stated, two plaintiffs seek money damages.

Plaintiffs requested the convening of a three-judge court under 28 U.S.C. §\$2281 and 2284.3/
Defendants agreed that a three-judge court should be convened and the Chief Judge of the Circuit appointed the members of the three-judge court on April 26, 1976.4/ The case was tried and argued August 20, 1976.

II

JURISDICTION

The individual defendants do not contest the jurisdiction of this court to entertain the instant action against them. They apparently concur in plaintiffs' assertion that a claim is stated against them which is cognizable under 42 U.S.C. \$1983, with jurisdiction accruing to this Court under 28 U.S.C. \$1343(3). Section 1983 imposes liability on any person who, under color of state law, deprives another of "any rights, privileges, or immunities secured by the Constitution. . . . " Section 1343(3)

grants to the district courts original jurisdiction to redress any deprivation of constitutional rights of the type described in §1983.

But Defendant Los Angeles County does contest our jurisdiction. Plaintiffs argue that their complaint states a claim against the County both for violation of their equal protection rights under the 14th Amendment and for violation of 42 U.S.C. §1981. Section 1981 in relevant part reads:

"All persons within the jurisdiction of the United States shall have the same right in every State . . . to make and enforce contracts, to sue, . . . and to the full and equal benefit of all laws and proceedings for the security of persons and property as is enjoyed by white citizens. . . ."

Plaintiffs argue that if a claim is stated under either or both of these bases, our jurisdiction over the County derives from 28 U.S.C. §1331(a), the general grant to the district courts of federal question jurisdiction. Section 1331(a) confers jurisdiction in all civil actions which arise "under the Constitution, laws or treaties of the United States" and "wherein the matter in controversy exceeds the sum of value of \$10,000..."

Defendant County strongly urges that it, as a political subdivision, is not suable under §1981. The County unfortunately took no position, either in its brief or in argument, as to plaintiffs' asserted alternative basis of liability, i.e. direct violation of the 14th Amendment. We have examined both bases as well as the question of the existence

in the case of the \$10,000 amount in controversy requirement under \$1331(a).

We hold that the complaint states a cognizable claim against the County on both 14th Amendment and \$1981 grounds and that the amount in controversy exceeds \$10,000. Therefore, we hold that this court has jurisdiction of the claim against the County under \$1331(a).

(A) The Section 1981 Claim

It is settled that the right to be free from illegal discrimination in employment is encompassed within the right "to make and enforce contracts" protected by §1981. Johnson v. Railway Express Agency, Inc., 421 U.S. 454 (1975). Johnson involved racial discrimination in employment. In Graham v. Richardson, 403 U.S. 365, 277 (1971) the Supreme Court held that aliens are within the class protected by \$1981 and may utilize that section as plaintiffs. Graham involved welfare benefits. Although neither the Supreme Court nor our Circuit have spoken on the question of alienage discrimination in employment, the Fifth and Seventh Circuits in carefully considered opinions, and a number of district courts, have held that §1981 applies to discrimination in employment based on alienage as well as to employment discrimination which is radically based, 5/ We choose to follow those holdings.

So we turn to the question of whether an action under §1981 may be maintained against a political subdivision of a state.

Since Monroe v. Pape, 365 U.S. 167 (1961) it has been established law that a political subdivision of a state is immune from a damage claim under \$1983 because it is not a "person". And that immunity has been extended to claims for injunctive relief. City of Kenosha v. Bruno, 412 U.S. 507 (1973).

Since §§1981 and 1983 are parts of what is generally called the Civil Rights Acts, it is tempting to argue that a political subdivision's immunity from suit under one section extends to the others. And our Circuit in Arunga v. Weldon, 469 F.2d 675 (9th Cir. 1972) appears to have accepted that argument, holding that a political subdivision is also not amenable to suit under §1981. The County's claim that it cannot be sued under §1981 is founded almost exclusively on Arunga.

We have pondered the Arunga opinion and in so doing, we have examined the record and the briefs. We believe, with the utmost respect, that Arunga may have been insufficiently considered and that it reaches an incorrect result. One district court in this district has previously declined to follow Arunga, League of United Latin American Citizens v. City of Santa Ana, 410 F. Supp. 873 (C. D. Cal. 1976), and we do likewise. We also believe that whatever authority Arunga has, has been undermined by language in a more recent decision of the Supreme Court.

Arunga was an action brought in pro per by a black legally admitted resident alien of Kenyan nationality. He sued the City of Alameda and one of its police officers (who was never served) for a

claimed physical assault arising out of an allegedly unlawful arrest. 6/ The trial court twice granted the City's motion to dismiss for lack of jurisdiction. The first grant was with permission to amend, and following submission of an amended complaint, the second dismissal was granted without leave to amend. The appeal followed. In the Court of Appeals the matter was subnitted without argument. The opinion is per curiam and in two short paragraphs:

"The plaintiff filed an action against the City of Alameda alleging that he had been deprived of rights in violation of 42 U.S.C. §\$1981 and 1986. The complaint was dismissed on motion upon the ground that the city is not a "person" and cannot be sued under the Civil Rights Act. [sic] An amended pleading based upon the same statute against the same defendant was again dismissed and this appeal taken.

It is abundantly clear that a municipal corporation is not a "person" subject to suit under 42 U.S.C. 1983. Monroe v. Pape, 365 U.S. 167, 81 S.Ct. 473, 5 L.Ed. 2d 492 (1961); Moor v. Madigan, 458 F.2d 1217 (9th Cir. 1972); Diamond v. Pitchess, 411 F.2d 565 (9th Cir. 1969). The district court was unquestionably correct and we affirm." (Footnote omitted).

We believe the first sentence of the opinion to be factually incorrect because we cannot find in the amended complaint any claim of a violation of §1986. The second paragraph of the opinion, which contains the holding, makes what we believe a fundamental error with respect to \$1981, i.e. the assumption that liability is imposed by \$1981 only upon "persons". Whereas \$1983 does use that term defining who is liable, \$1981 does not use the term nor does it limit in any way who may be liable as a defendant for a violation.

It is unforunate but understandable that the Arunga opinion assumes that various of the Civil Rights Acts (42 U.S.C. \$1981 through \$1988) and particularly §§1981, 1982 and 1983, should be construed and applied according to common rules and principles. This approach may well result from the fact that all of the substantive Civil Rights Acts were codified together in 1874 in the Revised Statutes. 7/ But it ignores certain other important historical facts: (1) that §§1981 and 1982, which are companion sections, were originally enacted in 1866, whereas \$1983 did not become law until 18718/and (2) that \$\$1981 and 1982 are derived from, and based upon, the 13th Amendment, whereas §1983 was enacted to implement the 14th Amendment. 9/

As the Supreme Court points out in Monroe, the legislative history of \$1983 and the use of the term "person" therein, preclude its application to political subdivisions. But a careful examination of the legislative history of \$\$1981 and 1982 establishes, we think, with equal clarity, that political subdivisions were intended to be included within their ambit. The legislative history of \$1981 to which we refer has been extensively collated by at least three other district courts. Robinson v. Conlisk, 385 F. Supp. 529 (N. D. III.

1974); Maybanks v. Ingraham, 378 F. Supp. 913
(ED. Pa. 1974); League of United Latin American
Citizens v. City of Santa Ana, supra. It serves
no useful purpose for us to duplicate or reproduce
those discussions; we incorporate them by reference.

The Supreme Court, post-Arunga, has said in analyzing \$1982:

"[L]ike the Amendment upon which it is based, \$1982 is not a mere prohibition of State laws establishing and upholding racial discrimination in the sale and rental of property, but rather [is], an 'absolute' bar to all such discrimination, private as well as public, federal as well as state."

District of Columbia v. Carter, 408 U.S.

418, 422 (1974).

We read this language as an affirmation that all sources of discrimination, including governmental entities, are reachable under §1982.

Because they are companion sections, having a common derivation and legislative historit would appear that what applies to \$1982 applies with equal force to \$1981.10/

Unfortunately, the Supreme Court has not yet decided the question of whether political subdivisions are suable under §1981. One circuit and a number of district courts have held, as we hold, that they are. 11/ Two district courts outside our Circuit, relying on Arunga, have held that they are not. 12/ The lack of a definitive Supreme Court decision and the paucity of other

authority since Arunga probably results from the passage of the 1972 amendments to Title VII of the Civil Rights Act of 1964, making political subdivisions amenable to suit under Title VII for discrimination in employment. 13/ The confusion caused by Arunga leads us to the fervent hope that our Circuit will shortly have occasion to take a second look at the legal question decided in it.

(B) The 14th Amendment Claim

A claim cognizable in this court is stated against the County under the 14th Amendment. Relatively recent authority makes clear that political subdivisions of states are answerable in the district courts for direct constitutional violations in a complaint seeking injunctive relief, damages or both, despite the absence of any statute specifically creating the cause of action.

v. Six Unknown Named Agents, 403 U.S. 388 (1971)
that, without an enabling statute, liability in
damages existed for direct constitutional violations
with district court jurisdiction being found in \$1331(a).
The defendants in Bivens were federal FBI agents
and the direct constitutional violation alleged was
a 4th Amendment violation. No extension of
Bivens to agents of state or local government was
needed since 42 U.S.C. \$1983 provided a specific
remedy in the federal courts against them, and
28 U.S.C. \$1343(3) provided clear federal
jurisdiction.

Utilizing the Bivens rationale, the Supreme Court later clearly implied that an action may be

brought in the federal courts against political subdivisions of states for direct constitutional violations including 14th Amendment violations. City of Kenosha v. Bruno, supra. 14/ After twice declining to decide that question 15/our own Circuit has now specifically decided it. Relying on Kenosha, the Circuit held that political subdivisions of states may be sued for both damages and equitable relief for constitutional violations, with jurisdiction being provided by \$1331(a). Gray v. Union County Intermediate Education Department, 520 F. 2d 803, 805 (9th Cir. 1975). 16/ Gray involved a school district but the court characterized a school district as a "political subdivision" of a state. Id.

(C) Amount In Controversy

Having determined that a claim "arising under the Constitution" and one also arising "under the laws" of the United States are presented, we have jurisdiction of that claim under \$1331(a) if the "amount in controversy exceeds \$10,000."

The amount in controversy must be found to exist under \$1331(a) as to each plaintiff. Zahn v. International Paper Co., 414 U.S. 291 (1973). If attention is focused solely on the amount of awardable money damages, one might entertain a substantial doubt about the existence of the requisite \$10,000 jurisdictional requirement in this case. No plaintiff pleads money damages in any specific amount. One plaintiff seeks no money damages at all. The other two, who were employed in other positions during the period in question, seek damages consisting of "back pay and seniority benefits". If

each of those plaintiffs must offset, by way of mitigation, the wages and benefits earned at other work, it is quite clear that neither could be awarded damages which exceed \$10,000.17/

But it is not proper, in deciding the amount in controversy, to focus solely on the money damage aspect of this case. Equitable relief, by way of injunction, is also sought here. In Glenwood Light & Water Company v. Mutual Light, Heat & Power Co., 239 U.S. 121 (1915), the Court said of injunctive actions:

". . . The jurisdictional amount is to be tested by the value of the object to be gained by the complainant." 239 U.S. at 121.

And of civil rights actions under 28 U.S. \$1331, it has been said that the amount in controversy is the value of the right to be protected. 1 Moore's Federal Practice \$0.96[31-1] at 939.18

Where a case involves denial of employment or dismissal from employment, courts have held that the amount in controversy may be met by considering the value of the job in question, i.e. the amount of money which plaintiff would earn in the job for the indefinite future or for the rest of his work expectancy. Friedman v. International Association of Machinists, 220 F. 2d 808, 810 (D. C. Cir. 1955); Hiss v. Hampton, 338 F. Supp. 1141, 1146 (D. D. C. 1972); White v. Bloomberg, 345 F. Supp. 133, 141 (D. Md. 1972). We adopt that rule and under it, the case of each plaintiff amply

meets the \$10,000 amount in controversy requirement. The value of the position of Deputy Probation Officer II, which is the "object to be gained" by the complaint, exceeds \$10,000.

Since we have found that a claim is stated against the County under both the 14th Amendment and \$1981 which is cognizable in a district court, and that the jurisdictional amount in controversy exists, we find jurisdiction against the County is granted to us under \$1331(a). 19/

III

THE MERITS

In three recent cases the Supreme Court has invalidated state statutes or regulations requiring citizenship as a condition of obtaining public employment or licenses. 20/ In Sugarman v. Dougall, 413 U.S. 634 (1973) the Court struck down a provision of the New York Civil Service Law which required citizenship for appointment to any position in the state's classified civil service. There were four named plaintiffs, all permanent legal resident aliens, who sued for themselves and for a class. Two of the plaintiffs were clerk-typists, one was a human resources tehnician, and the fourth an administrative assistant.

The Court's opinion emphasized the overbroad and indiscriminate nature of the statute, i.e. that the class of positions for which citizenship was required "reached . . . positions in nearly the full range of work tasks, . . . all the

way from the menial to the policy making." The opinion noted that citizenship was not required for the unclassified civil service within which many of the higher policy making decisions were included. The Court categorically rejected the claim that a state can confine public jobs, paid for by public resources, to citizens of the country. While observing that each state had the power to prescribe the qualifications of voters, elective office holders and holders of "important nonelective executive, legislative and judicial positions . . . [and] officers who participated directly in the formulation, execution, or review of broad public policy . . . ," the Court emphasized that such a statute must be "narrowly confined". Id. at 647. In a provocative and much discussed passage the Court said:

"We recognize a State's interest in establishing its own form of government, and in limiting participation in that government to those who are within 'the basic conception of a political community.'

Dunn v. Blumstein, 405 U.S. 330, 344

(1972). We recognize, too, the State's broad power to define its political community. But in seeking to achieve this substantial purpose, with discrimination against aliens, the means the State employs must be precisely drawn in light of the acknowledged purpose." Id. at 642-43.

In re Griffiths, 413 U.S. 717 (1973), decided the same day as Sugarman, struck down a rule of the Connecticut court system requiring citizenship as a prerequisite for admission to the bar. Plaintiff

was a long-time resident of the United States who was married to an American citizen and thus apparently entitled to remain here indefinitely. The Court rejected the argument that the special role of the lawyer within the governmental system justified excluding aliens from the practice of law. Although a Connecticut lawyer is denominated as a "commissioner" of the Superior Court with the right to sign writs and subpoenas, acknowledge deeds, administer oaths, take depositions and command the assistance of sheriffs and constables in the exercise of his authority, the Court stressed that these duties did not involve matters of such high policy or responsibility as would authorize a state to entrust them only to citizens. As in Sugarman, the Court stressed the duties imposed on resident aliens, which include the same obligation to pay taxes, and serve in the Armed Forces as are imposed on citizens. It observed that while lawyers (and other state employees) are required to take an oath to support the Federal and State Constitutions, such oath is customarily administered to resident aliens inducted into the Armed Forces and is thus deemed to be consistent with citizenship in a foreign country. The Court concluded that Connecticut had failed to establish any compelling state interest in confining law practice to citizens.

In Examining Board of Engineers v. De Otero,
U.S. S.Ct. , 44 U.S.L.W. 4890

(June 17, 1976), the Court struck down a statute
of Puerto Rico restricting the issuance of licenses
for the practice of civil engineering in the private
sector, to U.S. citizens. Puerto Rico was held
to be "territory" within the ambit of federal civil

rights and jurisdictional statutes. Among the justifications advanced by Puerto Rico for requiring citizenship was the claim that citizen engineers are more likely to be present in the community and to be financially more accountable than noncitizens, a matter of significance since engineers may be liable for malpractice for ten years under local law. Another justification offered was that by confining the privilege of practicing engineering to citizens, Puerto Rico could prevent the uncontrolled influx of Spanish-speaking aliens into this professional field. A third justification offered was to raise the prevailing low standard of living. The Court held that these justifications. like those of New York in Sugarman and Connecticut in Griffiths, were inadequate. 21/

From these opinions of the Supreme Court we can abstract the rules of law which we must apply in judging the California statute in question here. First, the equal protection guarantee as embodied in the 14th Amendment extends to permanent resident aliens. Second, a state statute or regulation classifying citizens and noncitizens and attaching disabilities to noncitizenship, involves a "suspect classification". Third, any "suspect classification" is subject to strict judicial scrutiny; for the statute to survive the state must establish (1) that the suspect classification [alienage] serves a compelling state interest, (2) that the classification is reasonably necessary to promote that compelling state interest, and (3) that the statute be narrowly and precisely drawn so as to avoid a classification that "sweeps too broadly".

We now proceed to measure the California statute, which is the subject of the instant case, against these criteria.

In relevant part, California Government Code §1031(a) provides:

"In any instance in which . . .
members of a class of public officers or
employees are . . . declared to be peace
officers or to have the powers of peace
officers, each member of such class
must meet at least the following minimum
standards:

(a) Be a <u>citizens</u> of the United States."
(Emphasis added)

California Penal Code \$830 and various subsections thereunder provide a very long list of occupations and positions classified as peace officers or having the powers thereof. Deputy probation officers are included. The footnote 22/contains the entire list which ranges all the way from important law enforcement positions to positions having apparently insignificant and menial duties. Included in the latter group are sextons and superintendents of a cemetery authority and other cemetery employees, inspectors of the Bureau of Furniture and Bedding Inspection, sealers of the Department of Weights and Measures and messengers of the State Treasurer's Office.

The only justification advanced by defendants in this case as a compelling state interest is the

one described in Sugarman, the State's power to define its "political community". We read the references in Sugarman to the "political community" for which the State may prescribe citizenship as a requisite of office, as being confined to high policy making officers. When confronted with this reading, defendants argue that the California statute in issue is applicable to only a "few" positions which are either "high level" or require demanding discretional decisions in their everyday execution." We cannot agree with the defendants. It is obvious from the long list of covered positions contained in the footnote that the statute is much broader than they claim and covers many positions with much less responsible duties than they describe. The claimed "political community" justification in this case, therefore, does not support this statute any more than it supported the requirement of citizenship for the classified civil service in New York State in Sugarman.

But even if we assume <u>arguendo</u> that the California statute serves a compelling state interest and employs a classification reasonably necessary to promote that compelling state interest, we would have to strike it down on the ground that it is not narrowly and precisely drawn and that it "sweeps too broadly." 23/

Although the concept was not discussed in the briefs, at the argument of the case, the court and counsel discussed whether the validity of §1031(a) should be considered as applied, i.e. as limited to the position of deputy probation officer which is the position sought by all three plaintiffs.

The prior decisions of the Supreme Court dealing with alienage, which we have discussed above, would appear to rule out consideration of the validity of this statute as applied. Those decisions stress that the scrutiny which should be afforded to such a statute must include an examination of whether it is too broad in its sweep, overinclusive. That is why we, as the Court did in Sugarman, have examined all of the types of positions for which citizenship is required. But we are also aware that in other contexts, the Supreme Court has resorted to the "as applied" technique for the announced purpose of avoiding advisory opinions and unnecessary constitutional adjudication, especially where the outer parameters of a state statute are cloudy and unadjudicated. See, e.g., United States v. Raines, 362 U.S. 17, 20-22 (1960). So, out of an abundance of caution, we proceed to examine \$1031(a) as applied.

The evidence before us contains no description of the duties performed by a deputy probation officer in California. However, counsel stipulated at the argument that we may take judicial notice of those duties based on our experience as judges. It is fortunate that all three members of this court had extensive experience as state court judges in California before appointment to the federal bench.

Deputy probation officers in California perform a wide range of duties. They include preparation of presentence investigations and recommendations as to sentence, supersivion of probationers (including efforts to ameliorate their employment, health and family problems) and investigation of probation violations. Also included

are various duties in connection with juveniles and juvenile courts among which are the filing of juvenile court petitions charging both delinquency and illegal acts, presence at juvenile court hearings in an advisory capacity, placement of minors declared to be wards of the juvenile court and supervising custodial personnel in juvenile incarceration institutions. Important as those duties are, we cannot characterize a deputy probation officer as an employee who participates "directly in the formulation, execution or review of broad public policy. . . . " Sugarman, supra, at 649. Since a compelling state interest appears only when the requirement of citizenship is confined to positions of that type, the statute must be condemned even as applied. As in Griffiths, we would find that the duties of a deputy probation officer "hardly involve matters of state policy or acts of such unique responsbility as to entrust them only to citizens." 413 U.S. at 724. A similar approach has recently been taken in a well-reasoned opinion of a three-judge district court striking down a New York statute requiring public school teachers to be citizens. Norwick v. Nyquist, 417 F. Supp. 913 (S. D. N. Y. 1976). If neither lawyers nor teachers can be required to be citizens, it would seem to us an a fortiori proposition that citizenship cannot be required for deputy probation officers. 24/

Having held that \$1031(a) violates the equal protection clause of the 14th Amendment, it follows that the denial of employment to the plaintiffs pursuant to \$1031(a) involves a violation of 42 U.S.C. \$1981 by the County and a violation of 42 U.S.C. \$1983 by the individual defendants. The County has denied to these plaintiffs the "same right...to

make and enforce contracts . . . "as are enjoyed by citizens (§1981). The individual defendants have denied these plaintiffs "under color of [a State] statute . . . [the] rights, privileges, or immunities secured by the Constitution. . . . " (§1983).

Our decision herein should come as no shock or surprise either to the defendants in the instant case or to other California officials. Since no California court has passed upon the constitutionality of Government Code \$1031(a) up to this time, the officials of Los Angeles County have apparently felt constrained to observe its requirements. But the California Attorney General seven years ago declared it to be unconstitutional. 25/ His opinion appears correctly to have anticipated the Supreme Court's Sugarman decision. Additionally, the California Supreme Court in 1969 struck down a statute prohibiting the employment of aliens on public works 26 and, even before the Supreme Court spoke in Griffiths, invalidated a citizenship requirement for the practice of law. 27/ And the California legislature, in 1970, repealed a blanket statute which required that every employee of the state and every county or city therein must be a citizen. 28/ So the direction of the tide has been clear. One need not have qualified as a soothsayer to have predicted our present holding. 29/

Since we have declared \$1031(a) to be unconstitutional because it discriminates illegally on the basis of alienage the claims of plaintiffs involving the right to travel and intrusion on Congress' immigration powers are rendered moot. We decline to discuss or decide those claims.

RELIEF

If the statute is declared unconstitutional, defendants do not appear to dispute the specific relief sought by the various plaintiffs except as to damages and attorneys fees.

All three plaintiffs seek a declaratory judgment that Government Code §1031(a) is unconstitutional and an injunction preventing its enforcement against them. Such declaratory judgment and injunction shall issue. The judgment will run against all defendants and will bind the successors in office of the individual defendants.

As to Plaintiff Chavez-Salido, the judgment will contain an order requiring his immediate appointment to the position of Deputy Probation Officer II-Spanish Speaking, with seniority rights retroactive to September, 1975. As to Plaintiff Ybarra, the judgment will contain an order requiring his immediate appointment to the position of Deputy Probation Officer I with seniority benefits retroactive to January, 1976. The judgment will further order his restoration to the eligibility list for Deputy Probation Officer II-Spanish Speaking, retroactive to November, 1975.

As to Plaintiff Bohorquez, the judgment will contain an order directing defendants to invalidate the results of the examination for Deputy Probation Officer II-Spanish Speaking, which he took in May, 1975 and requiring them immediately to give him another test. Should Bohorquez pass the new test,

the judgment will order him restored to the eligibility list for that position retroactive to May, 1975.

We pass now to the issue of damages. Plaintiffs have formally renounced any intention to seek a damage award against any individual defendant. 30/
Thus the court is not required to consider certain difficult questions of governmental employee immunity which would arise if money damages were sought against the individuals.

However, plaintiffs do press their claim for money damages against the County. It is settled that a county is not clothed with the immunity from money damage awards enjoyed by a state under the 11th Amendment, unless the county's damage obligation would be paid out of the state treasury. Edelman v. Jordan, 415 U.S. 651 (1974). The County makes no showing that if damages are awarded against it, they must be paid from state funds. Thus, the County is not immune. But whether damages should be awarded against the County under the facts of this case is a matter that we, as a three-judge court, decline to decide at this point.

The parties have neither briefed nor argued for us a number of questions related to a possible damage award against the County. These include (1) whether damages are compelled or discretionary, (2) if discretionary, what factors should be considered in exercising that discretion, and (3) the measure of damages, including whether there should be an offset by way of mitigation for sums earned in other employment. We therefore refer the matter of damages to the single judge to whom the case was originally assigned for further consideration

by him. He will have authority to enter any further judgment on the issue which he deems appropriate. One difficult question to be considered by the single judge is whether damages should be awarded at all where the act complained of as being illegal was the County's observance of the clear and unequivocal mandate of a state statute which had not been declared unconstitutional by any court.

The plaintiffs also seek attorneys fees, but only against the County. At the time of the filing of this action and its trial, it appeared that attorneys fees were not awardable in civil rights damage actions except where specific authority to award them was contained in the applicable statute. Alyeska Pipeline Service Co. v. Wilderness Society, 421 U.S. 240 (1975). And, there was no specific statutory authority for fees which applied to our case. However, after the argument, a new statute on the subject became effective which authorizes discretionary awards of attorneys fees to the prevailing party in certain civil rights matters. The Civil Rights Attorney's Fees Awards Act of 1976, Pub. L. No. 94-559. new Act is not expressly applicable to cases pending on the date of its enactment, but a recent action of the Supreme Court indicates that it may well be, and a recent district court decision so holds. $\frac{32}{}$

It is therefore appropriate for us also to refer the attorneys fees question to the single judge to whom the case was originally assigned, with authority to decide it.

This opinion will constitute this court's findings of fact and conclusions of law as to the issues herein decided and the partial judgment herein ordered. Counsel for plaintiffs will prepare and submit a partial judgment within ten days. Defense counsel, if the proposed partial judgment is not approved by him as to form, will have ten days further in which to file written objections which will not change the result. The single district judge to whom the case was assigned, after deciding the unresolved questions referred to him herein, may sign and file a final judgment in the case.

DATED: February 3, 1977.

/s/ Stanley N. Barnes
STANLEY H. BARNES, Senior Circuit
Judge

JESSE W. CURTIS

JESSE W. CURTIS, Senior District

Judge

/s/ Irving Hill
IRVING HILL, District Judge

FOOTNOTES

- Defendant Nesvig has been succeeded as Chief Personnel Officer of the County by Harry L. Hufford. Defendant Cabell has been succeeded as Chief Probation Officer of the County by Kenneth Fare. In both situations the present incumbent has been substituted for his predecessor under Fed. R. Civ. P. 25(d)(1).
- The first amended complaint was originally drawn as a class action. Before the trial, by stipulation of all parties, an order was entered striking all class action claims and aspects.
- 3. During the pendency of the action, Congress enacted new legislation substantially limiting the availability of three-judge courts. The Three-Judge Court Amendments of 1976, Pub. L. No. 94-381. The new law by its terms does not apply to actions commenced before its enactment, i.e. August 2, 1976, and thus the instant case was unaffected by the new statute.
- 4. We are aware, of course, of the teaching of the Supreme Court in Hagans v. Lavine,
 415 U.S. 528 (1974). Hagans holds that before resorting to a three-judge court, the single district judge should exhaust all potentially dispositive claims within his jurisdiction. The claim in the instant case of invalid state intrusion into the federal power to regulate immigration is not based on any alleged conflict between \$1031(a) and any specific federal law or regulation.

 Plaintiffs refer only generally to the Immigration

- and Naturalization Act, 8 U.S.C. §1101 et seq. Hagans describes a claim that direct conflict between a specific state statute and a specific Congressional enactment renders the state statute "unconstitutional". The opinion in Hagans denominates such a conflict claim as "statutory" and differentiates it from a claim of a clearly constitutional nature. Hagans holds that the single district judge must decide such a statutory claim before requesting that a three-judge court be convened to consider other claims. The supremacy clause claim of the plaintiffs here, like their other claims of unconstitutionality, derives "exclusively and directly from the Federal Constitution rather than from federal legislation [and] entail[s] an immediate resort to the Constitution. . . . " Norwick v. Nyquist, 417 F. Supp. 913, 916 (S. D. N. Y. 1976). All of the claims of the instant plaintiffs are of a clearly constitutional nature. None of the claims is "statutory" in the Hagans Therefore, in our view, a three-judge context. court was properly convened.
- 5. Guerra v. Manchester Terminal Corp.,
 498 F. 2d 641 (5th Cir. 1974); Roberts v. Hartford
 Ins. Co., 177 F. 2d 811 (7th Cir. 1949), cert. denied,
 339 U.S. 920 (1950); Spiess v. C. Itoh & Co.
 (America), Inc., 408 F. Supp. 916 (S. D. Tex. 1976);
 Hollander v. Sears Roebuck & Co., 392 F. Supp. 90
 (D. Conn. 1975); Mohamed v. Parks, 352 F. Supp.
 518 (D. Mass. 1973); Lopez v. The White Plains
 Housing Authority, 355 F. Supp. 1016 (S. D. N. Y.
 1972); League of Academic Women v. Regents of
 Univ. of Calif., 343 F. Supp. 636 (N. D. Cal. 1972).
 Cf. Campbell v. Gadsen County District School
 Board, 534 F. 2d 650 (5th Cir. 1976).

6. Arunga's papers throughout the record demonstrate that he was both unlearned and confused. The amended complaint is in one count; its jurisdictional paragraph cites only §1983. A later paragraph merely mentions §§1981 and 1985 along with §1983. The complaint does not mention \$1986 at all. The gravamen of the complaint is a prayer for money damages of \$1.5 million dollars as general and exemplary damages for the assault upon plaintiff and his physical and mental suffering. Some of the damages sought for mental suffering are claimed to be for the emotional effect upon plaintiff of his grandfather's premature death resulting from the "humiliation" to plaintiff. Plaintiff denominated his case as a class action and for some obscure reason prayed that a three-judge court be convened for the purpose of declaring unconstitutional Article 11. Section 11 of the California Constitution which confers local police power upon counties and cities.

In the City's original motion to dismiss, the points and authorities supporting it consisted of one sentence which asserted that "a city is not a 'person' under 42 U.S.C. §§1981-88". The motion to dismiss the amended complaint was likewise supported by a single sentence argument as follows:

"Plaintiff's 'AMENDED PLEADING' adds nothing except jibberish and still fails to state a cause of action against the City." Diamond v. Pitchess, 411 F. 2d 565 (9th Cir. 1969); Brown v. Town of Caliente, 392 F. 2d 546 (9th Cir. 1968).

Diamond and Brown are both \$1983 cases declaring municipal immunity. The trial court in neither of its dismissal orders cited any authority or stated any reason.

Arunga's brief on appeal does not mention \$1981 and does not even mention the suability of a political subdivision under any of the Civil Rights Acts. His brief is almost entirely devoted to the three-judge court issue and a discussion of state police power. The city's brief on appeal devotes only seven lines to the suability question, as follows:

"It is clear that a City may not be sued under 42 U.S.C. §§1981-85. Monroe v. Pape, 365 US 167 (1961); Moor v. Madigan, F. 2d (9th Cir. No. 71-3019; 71-3020, March 1972); Diamond v. Pitchess, 411 F. 2d 565 (9th Cir. 1969); Brown v. Town of Caliente, 392 F. 2d 546 (9th Cir. 1968). Under the authorities cited, the District Court was unquestionably correct in dismissing plaintiff's action as to the City."

- 7. For further discussion of the history of the codification, see Runyan v. McCrary, ____ U.S. ___, n. 8, ___ S.Ct. ___, __ n. 8 (1976).
- 8. With respect to the other parts of what has been called "The Civil Rights Acts", \$\$1987 and 1988 were enacted along with \$\$1981 and 1982, in 1866, and \$1986 was adopted with \$1983, as part of the Ku Klux Klan Act of 1871, ch. 22, \$1, 17 Stat. 13. A part of \$1985 was originally enacted

- in 1861, but the present full \$1985 was also adopted in 1871. Section 1984, which grants no substantive rights, was not enacted until 1875. Act of March 1, 1875, ch. 114, \$5, 18 Stat. 337.
- 9. In addition to \$\$1981 and 1982, \$\$1987 and 1988 are also based upon the 13th Amendment, while \$\$1985 and 1986, along with \$1983, were enacted pursuant to the 14th Amendment.
- 10. Jones v. Alfred Mayer Co., 392 U.S. 409, 422 n. 78 (1968); Bowers v. Campbell, 505 F. 2d 1155, 1157-58 (9th Cir. 1974); Macklin v. Sperton Freight Systems, Inc., 478 F. 2d 979, 993-94 (D. C. Cir. 1973).
- 11. Campbell v. Gadsen County District
 School Board, supra, note 5; League of United
 Latin American Citizens v. City of Santa Ana,
 410 F. Supp. 873 (C.D. Cal. 1976); Robinson v.
 Conlisk, 385 F. Supp. 529 (N.D. Ill. 1974); Hines
 v. D'Artors, 383 F. Supp. 181 (W.D. La. 1974);
 Maybanks v. Ingraham, 378 F. Supp. 913 (E.D.
 Pa. 1974).
- 12. Redding v. Medica, 402 F. Supp. 1260 (W. D. Pa. 1975); Black Brothers v. City of Richmond, 386 F. Supp. 147 (E. D. Va. 1974).
- 13. Plaintiffs in our case cannot resort to Title VII because discrimination based on alienage is not included within the types of discrimination prohibited by it. Espinoza v. Farah Manufacturing Co., 414 U.S. 86 (1974).

- 14. Plaintiffs were holders of retail store and tavern liquor licenses whose renewal applications had been denied by the two defendant cities under procedures set up by state statute. Alleging that their renewal applications were unconstitutionally denied, they sought injunctive relief including a declaration that the statutes involved were unconstitutional. A three-judge district court held that a claim under \$1983 was properly pled against the cities because only equitable relief was sought, and found jurisdiction under §1343(3). The Supreme Court reversed the §1983 holding but remanded the case rather than ordering judgment for the defendant cities. The remand order assumed that jurisdiction might well exist under \$1331(a) if the requisite amount in controversy was shown to exist. Two Justices, in a concurring opinion, viewed the remand as a holding by the Court, for the first time, that jurisdiction existed under \$1331(a) for actions against a city charging direct constitutional violations. See concurring Opinion of Brennan, J., 412 U.S. at 516.
- 15. Aldinger v. Howard, 513 F. 2d 1251 (9th Cir. 1975), aff'd, U.S., 96 S. Ct. 2413 (1976); Ybarra v. City of Town of Los Altos Hills, 503 F. 2d 250 (9th Cir. 1974).
- other district courts are in accord that direct constitutional causes of action may be maintained against political subdivisions of states. Reeves v. City of Jackson, 532 F. 2d 791 (5th Cir. 1976); Skehan v. Board of Trustees, 501 F. 2d 31 (3rd Cir. 1974); Redding v. Medica, 402 F. Supp. 1260

- (W. D. Pa. 1975); Williams v. Brown, 398 F. Supp. 157 (N. D. II. 1975); Maybanks v. Ingraham, supra, note 8; Dahl v. Palo Alto, 372 F. Supp. 647 (N. D. Cal. 1974). But Cf. Brault v. Town of Milton, 427 F. 2d 730 (2d Cir. 1975) (en banc).
- 17. The difference in pay between the trainee position accepted by Chavez-Salido and the position he now seeks is \$333 per month and the period of denial is less than 18 months. As to Ybarra, the evidence indicates that he earned an average of about \$900 per month during the denial period in the job at the Housing Authority. For Ybarra, the denial period does not exceed 14 months.
- 18. We are mindful that some courts have in effect ignored the \$10,000 jurisdictional requisite in cases involving claims of violation of constitutional These courts seem to hold that constitutional rights are so precious that, by definition, they are in every case worth more than \$10,000. See, e.g. Spock v. David, 469 F. 2d 1047 (3d Cir. 1972), reargued, 502 F. 2d 953 (3d Cir. 1974), reversed on other grounds sub non. Grier v. Spock, 424 U.S. 828 (1976); CCCO-Western Region v. Fellows, 359 F. Supp. 644 (N. D. Cal. 1972); West End Neighborhood Corp. v. Stars, 312 F. Supp. 1066 (D. D. C. 1970). This view apparently stems from the separate opinion of Mr. Justice Stone in Hague v. C.I.O., 307 U.S. 496, 529 (1939). There is substantial contra authority. See e.g. Goldsmith v. Sutherland, 426 F. 2d 1395 (6th Cir.), cert. denied, 400 U.S. 960 (1970); Giancana v. Johnson, 335 F.2d 366 (7th Cir. 1964), cert. denied, 379 U.S. 1001

- (1965). In the absence of any decision on the point from our Circuit, we decline to follow the cases first-above cited which find the amount in controversy invariably present because constitutional rights are not capable of valuation in monetary terms. For a full discussion of the entire problem, see the footnote in Gomez v. Wilson, 477 F. 2d 411, 420 n. 56 (D. C. Cir. 1973).
- 19. We also note, sua sponte, that jurisdiction of the claim against the County may also exist under another jurisdictional statute, 28 U.S.C. §1343(4).

Section 1343(4) is a grant to the district courts of original jurisdiction in any civil action "[t]o recover damages or to secure equitable or other relief under any Act of Congress providing for the protection of civil rights. . . ." Section 1343(4) is not broad enough to cover a charge of direct violation of the 14th Amendment since the section is limited to civil actions arising "under any Act of Congress". But since we hold that the County is amenable to suit in a §1981 action, §1343(4) would give us jurisdiction and without respect to the amount in controversy. Bowers v. Campbell, 505 F.2d 1155 (9th Cir. 1974); Campbell v. Gadsen County District School Board, 534 F.2d 650 (5th Cir. 1976).

20. See also the summary affirmance by the Supreme Court in Lefkowitz v. C.D.R.

Enterprises, Ltd., U.S. (Jan. 10, 1977), aff'g C.D.R. Enterprises, Ltd. v. Board of Education for the City of New York, 412 F. Supp. 1164 (E.D.N.Y. 1976) (three-judge).

21. In Hampton v. Mow Sun Wong, 426 U.S. 88 (1976), the Supreme Court held unconstitutional a Civil Service Commission regulation requiring citizenship for practically all federal civil service positions. The holding that the Civil Service Commission was without power to exclude noncitizens from such a wide range of positions is a relatively narrow one. The Court said that such power, if it exists at all, belongs only to Congress and held that the exercise of such power by the Commission violated due process. The opinion contains interesting language which hints that Congressional, or even Presidential, action requiring citizenship as a prerequisite for federal government employment and justified as an inducement for immigrants to become citizens, might be valid. This inducement to citizenship justification has not been dealt with in any prior opinion of the Supreme Court or in any prior judicial opinion cited to us. It was not advanced in the instant case.

22. Under §830.1

sheriff
undersheriff
deputy sheriff
policeman
marshal
deputy marshal
constable
deputy constable

Under \$830.2

highway patrolmen members of the California State Police Division

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members of the California National Guard when called into active service by the Governor

members of the University of California police department

members of a state college police department

Under §830.3

officers and special agents of the Department of Justice, Bureau of Criminal Identification and Investigation

officers and agents of the Bureau of Narcotic Enforcement

investigators in the office of a district attorney

the Director and enforcement officers of the Department of Alcoholic Beverage Control

officers and investigators of the Division of Investigation of the Department of Consumer Affairs

investigators of the Board of Medical Quality Assurance

members and deputies of the Wildlife Protection Branch, Department of Fish and Game

State Forester and employees of the Division of Forestry

voluntary fire wardens

officers and manager of compliance services of the Department of Motor Vehicles investigators of the Department of Motor Vehicles

Secretary and Chief Investigator of the California Horse Racing Board racetrack investigators of the California Horse Racing Board

police officers of a regional park district state fire marshal, and deputy fire marshals members of an arson-investigating unit of a fire department

members of a fire department of a local agency

inspectors of the Bureau of Food and Drug

park rangers

members of a community college police department

investigators of the Division of Labor Law Enforcement

Under §830.4

security officers of the California State Police Division

Sergeant at Arms of each house of the Legislature

Bailiffs of the Supreme Court and the courts of appeal

guards and messengers of the State Treasurer's Office

director and employees of the Department of Navigation and Ocean Development

the administrator of a state hospital and police officers designated by him

railroad or steamboat company policemen commissioned by the Governor

sextons and superintendents of cemeteries other cemetery personnel disgnated by a cemetery authority (See Health and Safety Code §8325) harbor policemen special officers of the Department of Airports of the City of Los Angeles the chief of toll services of the Department of Transportation and his captains, lieutenants and sergeants employed on vehicular crossings members of a security patrol of a school district authorized federal employees engaged in enforcing applicable state or local laws on federal property Los Angeles County security guards airport policemen designated by the Monterey Peninsula Airport District airport security officers at airports operated by San Francisco, Orange

or San Joaquin Counties
housing authority patrol officers
employed by the housing authorities
of Los Angeles or Contra Costa
Counties

Under \$830.5

parole officers of the Department of
Corrections
placement or parole officers of the
Youth Authority
probation officers
deputy probation officers

warden, superintendent, supervisor or guards employed by the Department of Corrections any employee having custody of a ward in an institution of the Youth Authority

Under §830.5a

agents of the law liaison unit of the Department of Corrections

Under §830.6

persons deputized or appointed as a reserve or auxiliary sheriff, deputy sheriff or city policeman

In addition to the above, the following have the powers of peace officers:

- Any person summoned to the aid of any uniformed police officer as in a posse comitatus (Penal Code §830.6b)
- the director, officers and employees of the Division of Aeronautics (Pub. Util. Code §21252)
- any person vested with the power of enforcing any provisions of the Agriculture Code (Agric. Code §7)
- county fire wardens and assistant and deputy fire wardens (Government Code \$24008)

- inspectors of the Board of Dental Examiners (Business and Professions Code §1704)
- inspectors of the Bureau of Furniture and Bedding Inspection (Business and Professions Code §19206)
- inspectors of the Bureau of Livestock Identification (Agric. Code §20432)
- mental health counselors (Welfare and Institutions Code §6778)
- officers and employees of the state park system (Business Resources Code \$5008)
- sealers of the Department of Weights and Measures (Business and Professions Code §12013)
- 23. In various constitutional and statutory provisions, California requires citizenship as a qualification for holding statewide elective office including Governor and Lieutenant Governor and for holding certain top executive positions in state government. See e.g. Cal. Const. art. 5, \$\cong 2\$ and 9 and Government Code \$\cong 241\$, 1001 and 1020. We express no view as to the validity of those provisions; they are not in issue here.
- 24. Our opinion, in striking down §1031(a) on its face and as applied, should not be read as holding that California could not constitutionally enact a citizenship requirement for a narrowly

confined class of the most important peace officers. We do not decide, for example, whether county sheriffs or city police chiefs are within the state's "political community" or whether a citizenship requirement for them would be reasonably necessary to promote such a compelling state interest.

- 25. 53 Cal. Atty Gen. Opin. 63 (1970).
- 26. Purdy & Fitzpatrick v. State, 71 Cal. 2d 566 (1969) (invalidating Labor Code §1850).
- 27. Raffaelli v. Committee of Bar Examiners, 7 Cal. 3d 288 (1972).
- 28. See Historical Note preceding California Labor Code §1960.
- 29. It would have been preferable, in the interests of harmonious federal-state relationships, for plaintiffs to have sought relief in the state courts instead of mounting their initial challenge in the federal courts. In the lights of the above-mentioned California decisions and Attorney General's opinion, it appears that a 14th Amendment challenge in the state courts might well have succeeded. However, in our view, plaintiffs' bypass of state court remedies, for whatever reason, does not warrant abstention on our part.
- 30. See the stipulation of counsel filed Jan. 13, 1976 which renounces both damages and attorneys fees as against the individual defendants.
- 31. See the summary disposition in Stanton v. Bond, U.S., 45 U.S.L.W. 3399

(Nov. 30, 1976).

32. Wade v. Mississippi Cooperative

Extension Service, F. Supp. , 45 U. S. L. W.

2301 (N. D. Miss. Dec. 12, 1976).

UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA

JOSE CHAVEZ-SALIDO, et al.,) No.
**	CV 76-0541-IH
Plaintiffs,)
v.) ADDENDUM
) TO OPINION
CLARENCE E. CABELL, etc., et al.,)
Defendants.)

Following the filing of our Opinion on February 3, 1977, we became aware for the first time of an en banc decision of our Circuit in Sethy v. Alameda Co. Water District, 545 F. 2d 1157 (9th Cir. 1976). It was published in Federal Reporter (2d Series) after our Opinion was filed. In Sethy, the Circuit appears to overrule Arunga v. Weldon, 469 F. 2d 675 (9th Cir. 1972) and explicitly holds that a municipal subdivision may be sued under \$1981. Our Opinion is in accord with the Sethy decision and Sethy in no way affects either the rationale or the result we reached herein.

DATED: March , 1977.

- /s/ Stanley N. Barnes
 STANLEY N. BARNES
 Senior Circuit Judge
- JESSE W. CURTIS
 Senior District Judge
- /s/ Irving Hill
 IRVING HILL
 District Judge

APPENDIX B

UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA

JOSE CHAVEZ-SALIDO, et al.,) NO.
) CV 76-0541-TH
Plaintiffs,)
v.) PARTIAL
) JUDGMENT
CLARENCE E. CABELL, etc.,)
et al.,)
Defendants.)
)

This cause having been tried and argued before this Court on August 20, 1976, plaintiffs appearing by and th rough counsel Michele Washington and Richard A. Paez and defendants appearing by and through counsel John H. Larson, County Counsel, and Philip H. Hickok, Deputy County Counsel, the matter having been submitted for decision, and the Court having made findings of fact and conclusions of law in its Opinion of February 3, 1977.

IT IS HEREBY ORDERED, ADJUDGED AND DECLARED:

 Partial Judgment shall be entered on behalf of plaintiffs and against defendants; with plaintiffs Chavez-Salido, Bohorquez and Ybarra

having relief as follows:

- (a) It is declared that California
 Government Code \$1031(a), on its face, and
 as applied is violative of the Fourteenth
 Amendment to the United States Constitution
 in that said statute denies plaintiffs equal
 protection of the laws and is therefore void
 and unenforceable.
- (b) It is further declared that the actions of defendant County of Los Angeles by and through its employees in denying plaintiffs enployment solely because of their alien status was and is violative of 42 U.S.C. §1981.
- (c) It is further declared that the actions of the individual defendants and their successors in office in denying plaintiffs employment solely because of their alien status was and is violative of 42 U.S.C. \$1983.
- (d) Defendant County of Los Angeles and the individual defendants and their successors in office, agents, assigns, employees, and all of the persons under their supervision are hereby permanently enjoined from enforcing and/or applying California Government Code §1031(a) against plaintiffs.
- (e) It is further ordered that immediately upon entry of this judgment, defendant County of Los Angeles and the individual defendants, shall appoint plaintiff Chavez-Salido B-2

to the position of Deputy Probation Officer II--Spanish-Speaking with all seniority benefits retroactive to September, 1975.

- upon entry of this judgment, defendant County of Los Angeles and the individual defendants, shall appoint plaintiff Pedro Luis Ybarra to the position of Deputy Probation Officer I with seniority benefits retroactive to January, 1976. It is further ordered that plaintiff Pedro Luis Ybarra shall be restored to the eligibility list for Deputy Probation Officer II--Spanish-Speaking retroactive to November, 1975.
- upon entry of this judgment, defendant County of Los Angeles and the individual defendants shall invalidate the results of the examination for Deputy Probation Officer II--Spanish-Speaking, which plaintiff Ricardo Bohorquez took in May, 1975 and immediately give plaintiff Bohorquez another test. Should plaintiff Bohorquez pass the new test, defendant County of Los Angeles and the individual defendants shall restore his name to the eligibility list for the position of Deputy Probation Officer II--Spanish-Speaking, retroactive to May, 1975.
- The County of Los Angeles, and the individual defendants and their successors in office, agents, assigns, employees and all persons under their supervision, shall, no later than 30 days from the date of entry of this partial judgment, submit to

the Court, with a copy to counsel for plaintiffs, a report detailing the actions taken in compliance with the Opinion and Order of this Court.

- 3. The request for damages in the form of back pay by plaintiffs Joe Chavez-Salido and Pedro Louis Ybarra is referred to District Court Judge Irving Hill. Judge Hill shall have the authority to enter any further orders and judgment on the issue of damages as he deems just and proper in accordance with the Court's Opinion.
- 4. The issue of whether attorneys' fees may be awarded against the County of Los Angeles is also referred to District Court Judge Hill. He shall have authority to determine whether plaintiffs are entitled to attorneys' fees, and if so, the amount of any such award.
- Upon his determination of the remaining issues referred to in paragraphs 3 and 4 hereof,
 Judge Hill may himself sign and enter a final judgment in this case.
- 6. The District Court Judge to whom this cause was originally assigned shall retain jurisdiction over this matter until such time as defendants have fully complied with paragraph 1 of this judgment and until the issues specified in paragraphs 3 and 4 of this judgment have been finally resolved.
- 7. Plaintiffs shall be awarded their costs of suit in the amount of _____, except that an award of attorneys' fees, if any, shall be made pursuant to paragraph 4 of this judgment.

 There is no just reason to delay entry of this partial judgment against the defendants and it is hereby directed that it be so entered.

IT IS SO ORDERED.

DATED: February 23, 1977.

/s/ Stanley N. Barnes
STANLEY N. BARNES
Senior Circuit Judge

/s/ Jessie W. Curtis /s/ Irving Hill

JESSIE W. CURTIS IRVING HILL
Senior District Judge District Judge

APPENDIX C

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

JOSE CHAVEZ-SALIDO, et al.,)	NO.
)	CV-76-0541-IH
Plaintiffs,)	
v.)	NOTICE OF
)	APPEAL TO THE
CLARENCE E. CABELL, etc.,)	SUPREME COURT
et al.,)	OF THE
)	UNITED STATES
Defendants.)	

Notice is hereby given that the County of Los Angeles, Kenneth F. Fare, Harry Hufford and Jackie Hasenstab, defendants above named, hereby appeal to the Supreme Court of the United States from the entire "Partial Judgment" and the entire final judgment entered on February 24, 1977.

This appeal is taken pursuant to 28 U.S.C. §1253.

DATED: March 18, 1977

Respectfully submitted,
JOHN H. LARSON
County Counsel
By /s/ Philip H. Hickok
PHILIP H. HICKOK
Deputy County Counsel
Attorneys for Defendants

JOHN H. LARSON, County Counsel
PHILIP H. HICKOK, Deputy County Counsel
500 West Temple Street
Los Angeles, California 90012
ATTORNEY(S) FOR

Defendants

UNITED STATES DISTRICT COURT

CENTRAL DISTRICT OF CALIFORNIA

JOSE CHAVEZ-SALIDO, et al.,

CASE NUMBER

CV-76-0541-IH

PLAINTIFF(S)

VS

CLARENCE E. CABELL, etc., et al.,

PROOF OF SERVICE

DEFENDANT(S)

I, the undersigned, certify and declare that I am a citizen of the United States, over the age of 18 years, employed in the County of Los Angeles, State of California, and not a party to the above-entitled cause. On March, 1977, I served a true copy of NOTICE OF APPEAL TO THE SUPREME COURT OF THE UNITED STATES

□ by personally delivering it to the person(s) indicated below in the manner as provided in

FRCivP 5(b); by depositing it in the United States Mail in a sealed envelope with the postage thereon fully prepaid to the following: (List names and addresses for person(s) served. Attached additional sheets if necessary.)

MR. RICHARD A. PAEZ, Esq.
Western Center on Law
& Poverty
1709 West 8th Street,
Suite 600
Los Angeles, CA 90017

All parties required to be served have been served.

Place of Mailing: Hall of Administration

Executed on March, 1977 at Los Angeles, California.

YOU ARE REQUIRED TO CHECK ALL APPLICABLE BOXES BEFORE PRESENT-ING TO THE COURT FOR FILING

☐ I hereby certify that I am a member of the Bar of the United States District Court, Central District of California.*

MX I hereby certify that I am employed in the office of a member of the Bar of this Court at whose direction the service was made.*

Subscribed and sworn to before me

	(Date)
Notary	Public in and for the
	Cakifornia.

(Seal)

C-3

/s/ Nancy Mar
Signature of person making service

*Document must be notorized only if neither of these certifications is applicable.

ACKNOWLEDGEMENT OF SERVICE

I,	, Received a true copy of
the within document	
_	(Signature)
-1	for: